



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Lieber, et al.

Serial No: 09/966,812

Filed: September 28, 2001

For: Direct Growth of Nanotubes, and their
Use in Nanotweezers

Art Unit: 1754

Attorney Document No. HUV-039.01

Confirmation No.: 3072

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on March 14, 2002.

Terrill Williams
Terrill Williams

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Commissioner for Patents and Trademarks
Washington, DC 20231

Sir:

Enclosed are four executed combined Declaration and Power of Attorney for Patent Application 09/966,812. Also enclosed is a copy of the Notice to File Missing Parts and a check totaling \$516.00 (\$370.00) filing fee, (\$81.00) fee for nine claims over 20, (\$65.00) for a small entity surcharge. Additionally enclosed are 18 sheets of Formal Drawings.

Although we believe that we have submitted the correct amount to cover the above-listed items, the Commissioner is authorized to credit any overpayment or charge any deficiencies to our Deposit Account No. 06-1448.

Respectfully Submitted,

T. Kavanagh
Theresa Kavanaugh
Reg. No. 50,356

Date: March 14, 2002

Customer No: 25181
Patent Group
Foley, Hoag & Eliot LLP
One Post Office Square
Boston, MA 02109-2170

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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/966,812 | 09/28/2001 | Charles M. Lieber | HUV-03901 |

25181
FOLEY, HOAG & ELIOT, LLP
PATENT GROUP
ONE POST OFFICE SQUARE
BOSTON, MA 02109



CONFIRMATION NO. 3072

FORMALITIES LETTER



OC000000007078776

Date Mailed: 11/15/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$162. 03/28/2002 RBERHE 00000095 09966812

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|-----------|--------|----|
| 01 FD:201 | 370.00 | OP |
| 02 FD:205 | 65.00 | OP |
| 03 FD:203 | 81.00 | OP |
- \$162 for 9 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1002.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE